

SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Monga, et al.

Atty Dkt: 2204/A94

Serial No: Not yet assigned

Date: August 15, 2001

Date Filed: Herewith

Invention: System, Device, and Method for Managing Connection Establishment and Related Services in an Optical Communication System

Commissioner for Patents  
Washington, DC 20231

11002 U.S. PTO  
09/931645  
08/15/01

#5  
T.D.  
07/02/02

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'  
INFORMATION DISCLOSURE STATEMENT

Other Documents

Exam. Ref.

Init. No. Name

YB  
AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.  
AB "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.  
AC Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.  
AD Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.  
AE "Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.  
AF "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.  
AG Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.  
AH "ASON - Requirements at the Client APT", Newsome, et. al., September 2000.  
AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.  
AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: 

Date Considered: 3/1/2005

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.  
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet 1 of 1

**Complete if Known**

<b>Application Number</b>	09/931,645
<b>Filing Date</b>	August 15, 2001
<b>First Named Inventor</b>	MONGA, Indermohan S.
<b>Art Unit</b>	2633
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	14983BAUS01U

## U.S. PATENT DOCUMENTS

[illegible]

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## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date Considered

3/1/2005

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/931845		
		Filing Date	8/15/2001		
		First Name of Inventor	Monga		
		Art Unit	2833		
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	14983BAUS02U 120-175

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
95		US-5,889,600	03/30/1999	McGuire	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
45		IPER for PCT/US01/25520		Nortel Networks Ltd.		

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<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.  
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